

Part III Information about Financial Institution Where Activity Occurred 1 of 1

*51 Type of financial institution	<input type="text"/>	<input type="text"/>
*52 Primary federal regulator	<input type="text"/>	
53 Type of gaming institution	<input type="checkbox"/> State licensed casino <input type="checkbox"/> Tribal authorized casino <input type="checkbox"/> Card club <input type="checkbox"/> Other (specify) <input type="text"/>	
54 Type of Securities and Futures institution or individual where activity occurred - check box(es) that apply to this report	<input type="checkbox"/> Clearing broker-securities <input type="checkbox"/> Introducing broker-securities <input type="checkbox"/> Subsidiary of financial/bank holding company <input type="checkbox"/> Execution-only broker securities <input type="checkbox"/> Investment Adviser <input type="checkbox"/> Other <input type="text"/> <input type="checkbox"/> Futures Commission Merchant <input type="checkbox"/> Investment company <input type="checkbox"/> Holding company <input type="checkbox"/> Retail foreign exchange dealer <input type="checkbox"/> Introducing broker-commodities <input type="checkbox"/> Self-clearing broker securities	
55 Financial institution identification	Type	<input type="text"/>
	Number	<input type="text"/>
56 Financial institution's role in transaction	<input type="checkbox"/> Selling location <input type="checkbox"/> Paying location <input type="checkbox"/> Both	
*57 Legal name of financial institution	<input type="checkbox"/> Unknown	<input type="text"/>
58 Alternate Name, e.g., AKA - individual or trade name, DBA - entity	<input type="text"/>	
*59 TIN	<input type="checkbox"/> Unknown	<input type="text"/>
	60 TIN type	<input type="text"/>
*61 Address	<input type="checkbox"/> Unknown	<input type="text"/>
*62 City	<input type="checkbox"/> Unknown	<input type="text"/>
63 State	<input type="text"/>	
*64 ZIP/Postal Code	<input type="checkbox"/> Unknown	<input type="text"/>
*65 Country	<input type="checkbox"/> Unknown	<input type="text"/>
66 Internal control/file number	<input type="text"/>	
67 Loss to financial institution \$	<input type="text"/> .00	

Branch where activity occurred information

If no branch activity involved, check this box ☐

Branch Information

68 Branch's role in transaction	<input type="checkbox"/> Selling location	<input type="checkbox"/> Paying location	<input type="checkbox"/> Both
69 Address of branch or office where activity occurred	<input type="text"/>		
71 City	<input type="text"/>	70 RSSD Number	<input type="text"/>
72 State	<input type="text"/>	73 ZIP/Postal Code	<input type="text"/>
		*74 Country	<input type="text"/>