

Part III Information about Financial Institution Where Activity Occurred 1 of 1

*51 Type of financial institution [Yellow] [Grey]

*52 Primary federal regulator [Yellow]

53 Type of gaming institution
 State licensed casino Tribal authorized casino Card club Other (specify) [Grey]

54 Type of Securities and Futures institution or individual where activity occurred - check box(es) that apply to this report
 Clearing broker-securities Introducing broker-securities Subsidiary of financial/bank holding company
 Execution-only broker securities Investment Adviser Other [Grey]
 Futures Commission Merchant Investment company
 Holding company Retail foreign exchange dealer
 Introducing broker-commodities Self-clearing broker securities

55 Financial institution identification Type []
Number []

56 Financial institution's role in transaction Selling location Paying location Both

*57 Legal name of financial institution Unknown [Yellow]

58 Alternate Name, e.g., AKA - individual or trade name, DBA - entity []

*59 TIN Unknown [Yellow] 60 TIN type [Yellow]

*61 Address Unknown [Yellow]

*62 City Unknown [Yellow]

63 State []

*64 ZIP/Postal Code Unknown [Yellow]

*65 Country Unknown [Yellow]

66 Internal control/file number []

67 Loss to financial institution \$ _____ .00

Branch where activity occurred information

If no branch activity involved, check this box

Branch Information

68 Branch's role in transaction Selling location Paying location Both

69 Address of branch or office where activity occurred []

71 City [] 70 RSSD Number []

72 State [] 73 ZIP/Postal Code [] *74 Country [Yellow]